



PTO/SB/21 (09-04)

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FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

| | |
|------------------------|-------------------|
| Application Number | 10/783,890 |
| Filing Date | February 20, 2004 |
| First Named Inventor | Ashish Pandya |
| Art Unit | 2667 |
| Examiner Name | Emdadi, Kamran |
| Attorney Docket Number | 2103110-991180 |

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-1449; Certificate of First Class Mailing; Return Postcard |
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name DLA Piper Rudnick Gray Cary US LLP

Signature

Printed name Peter R. Leal

Date September 15, 2005

Reg. No. 24,226

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name Paula Borgens

Date September 15, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT

| | |
|----------------------|---|
| Applicants: | Ashish Pandya |
| Application No. | 10/783,890 |
| Filed: | February 20, 2004 |
| For: | Distributed Network Security System and a Hardware Processor Therefor |
| Group Art Unit: | 2667 |
| Examiner: | Emdadi, Kamran |
| Attorney Docket No.: | 2103110-991180 |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☐ is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; **OR**
- (c) ☒ after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, **OR**
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (d) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed.



The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896.

Date: September 15, 2005

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY US LLP

By: 

Reg. No. Peter R. Leal (Reg. No. 24,226)

Attorneys for Applicant(s)

Attn: Patent Department

2000 University Avenue

East Palo Alto, CA 94303-2248

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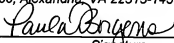
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September 15, 2005

Date



Signature



ATTORNEY DOCKET NO. 2103110-991180

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| Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | U.S. DEPT. OF COMMERCE Patent and Trademark Office | Attorney Docket Number: 2103110-991180 | Serial Number: 10/783,890 |
| | | Applicants: Ashish Pandya | |
| | | Filing date: February 20, 2004 | Group art unit: 2667 2616 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Patent number | Date | Name | Class | Sub- class | Filing date if appropriate |
|---------------------|---------------|------|------|-------|---------------|----------------------------|
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FOREIGN PATENT DOCUMENTS

| | Document number | Date | Country | Class | Sub- class | Translation | |
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| | | | | | | YES | NO |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|------|---|
| /HN/ | PCT International Search Report mailed on 06 September 2005 regarding PCT/US05/05159 filed on 18 February 2005. (3 pgs.) |
| /HN/ | PCT Written Opinion of the International Searching Authority mailed on 06 September 2005 regarding PCT/US05/05159 filed on 18 February 2005. (5 pgs.) |
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| Examiner: /Hanh Nguyen/ | Date Considered: 05/14/2008 |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | |